

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT PAGE 1 OF 1	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">ATTY. DOCKET NO. ROC920010283US1</td> <td style="width:50%;">SERIAL NO. Unknown</td> </tr> <tr> <td colspan="2">APPLICANT: James A. Hagan et al.</td> </tr> <tr> <td>FILING DATE: Unknown</td> <td>GROUP: Unknown</td> </tr> </table>	ATTY. DOCKET NO. ROC920010283US1	SERIAL NO. Unknown	APPLICANT: James A. Hagan et al.		FILING DATE: Unknown	GROUP: Unknown
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APPLICANT: James A. Hagan et al.							
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 09/976408
 10819 U.S. PTO

REFERENCE DESIGNATION									U.S. PATENT DOCUMENTS					
EXAMINER INITIALS			DOCUMENT NUMBER						DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)	
SA	AA	6	2	3	6	5	4	2	05/22/2001	Hartog et al.	360	136		
↓	AB	4	6	4	5	5	6	1	02/24/1987	Rea	156	636		
	AC	5	3	4	0	3	7	0	08/23/1994	Cadien et al.	51	308		
	AD	5	5	2	7	4	2	3	06/18/1996	Neville et al.	156	636.1		
	AE	5	8	4	6	3	9	8	12/08/1998	Caprio	205	775		
	AF	5	8	7	6	4	9	0	03/02/1999	Ronay	106	3		
SA	AG	6	0	1	5	5	0	6	01/18/2000	Streinz et al.	252	186.1		
	AH													
	AI													
	AJ													
	AK													

FOREIGN PATENT DOCUMENTS															
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
													YES	NO	
	AL														
	AM														
	AN														
	AO														

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
SA	AR	U.S. Patent Application Serial No. 09/590,667, "Lanthanide Oxide Dissolution from Glass Surface", Douglas Howard Piltingsrud, filed June 8, 2000. (Attorney Docket No. ROC9-1999-0186-US2)
SA	AS	Product Information Sheet, "SRS 596 Specially Processed Cerium Oxide in an Aqueous Slurry", Ferro Electronic Materials, Penn Yan, New York, dated 5/18/01 revision 1.
SA	AT	Product Information Sheet, "SRS 565 Colloidal Silica Based Polishing Slurry", Ferro Electronic Materials, Penn Yan, New York, dated 01/18/01 rev nil.

EXAMINER <u>Shamim Ahmed</u>	DATE CONSIDERED <u>3/6/04</u>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.